



## Portfolio Management Service

A complete portfolio management service  
for financial planners, share brokers, accountants,  
solicitors and other financial intermediaries.

Dated 1 July 2022



Please answer both tax residency questions as you can be a tax resident of more than one country. **If you are only a tax resident of Australia and no other country please proceed to AET My Portfolio access.**

**Foreign Residents only – Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)**

Under FATCA and CRS laws, we are required to ask all investors to provide additional information about their tax residency. Tax residency rules differ by country. Whether an individual is a tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person’s residence or place of work. This information will be reported to the relevant tax authority within Australia or internationally. Refer to section 10 for information.

For all countries where you are a tax resident please provide a TIN (Tax Identification Number) which is the number assigned by each country for the purposes of administering tax laws such as a Social Security Number in the US. If a TIN cannot be provided, please list one of the three reasons specified below (A, B or C) for not providing a TIN.

**Reasons for not providing a TIN – please select one reason below (if applicable).**

Reason A – The country of tax residency does not issue TINs to tax residents, or

Reason B – You have not been issued with a TIN – please provide details for this reason, or

Reason C – The country of tax residency does not require the TIN to be disclosed.

Country	TIN	Reason for no TIN

Reason you have not been issued with a TIN (if applicable)

If there are more countries, provide details on a separate sheet and tick this box .

**Note:** it is important that we hold up to date records, so please call AET ClientFirst on 1800 254 180 if the details you have provided change at any time.

**AET My Portfolio access**

Please advise (✓) whether you would like to obtain access to AET My Portfolio:

Yes

No

**Investor 2**

Title

Surname

Given name(s)

Birth date (dd/mm/yyyy)

 /  / 

Gender

Male  Female

Email address

If you are residing overseas, you must complete and submit

An Overseas Investor form (aetmyportfolio.com.au)

Original certified copies of your identification documents.

## Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) requirements

In accordance with the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF), please advise the following: (Note: your application cannot be processed unless the 'Politically Exposed Person' section is completed and you may be requested to provide additional information and documentation to facilitate compliance with the AML/CTF legislation).

### Politically Exposed Person (PEP)

Politically Exposed Persons are individuals who occupy a prominent public position or function in a government body or international organisation, both within and outside Australia. This definition also extends to their immediate family members and close associates.

Are you a Politically Exposed Person?  Yes  No

If you have nominated yourself to be a Politically Exposed Person, you must complete and attach:

a Politically Exposed Persons form (aetmyportfolio.com.au)

original certified copies of your identification documents.

Are you a tax resident of Australia?  Yes  No

Are you a tax resident of another country?  Yes  No

*Please answer both tax residency questions as you can be a tax resident of more than one country. If you are only a tax resident of Australia and no other country please proceed to AET My Portfolio access.*

### Foreign Residents only – Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)

Under FATCA and CRS laws, we are required to ask all investors to provide additional information about their tax residency. Tax residency rules differ by country. Whether an individual is a tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. This information will be reported to the relevant tax authority within Australia or internationally. Refer to section 10 for information.

For all countries where you are a tax resident please provide a TIN (Tax Identification Number) which is the number assigned by each country for the purposes of administering tax laws such as a Social Security Number in the US. If a TIN cannot be provided, please list one of the three reasons specified below (A, B or C) for not providing a TIN.

#### Reasons for not providing a TIN – please select one reason below (if applicable).

Reason A – The country of tax residency does not issue TINs to tax residents, or

Reason B – You have not been issued with a TIN – **please provide details for this reason, or**

Reason C – The country of tax residency does not require the TIN to be disclosed.

Country	TIN	Reason for no TIN

Reason you have not been issued with a TIN (if applicable)

If there are more countries, provide details on a separate sheet and tick this box .

**Note:** it is important that we hold up to date records, so please call AET ClientFirst on 1800 254 180 if the details you have provided change at any time.





## Section 6: Investment ownership

### Custodial ownership

I/We confirm that I/we require AET to act as Custodian for all managed funds, term deposits and listed securities (excluding those listed securities that may be offered for security against margin lending which are to remain in my/our name).

I/We acknowledge that by appointing AET as Custodian over my/our portfolio AET will in its capacity as custodian:

- hold the portfolio's Australian listed shares and managed funds in trust on behalf of the account
- act on instructions provided by me/us or my/our financial adviser
- keep portfolio account assets distinct and separate from its own assets
- be prohibited from placing a charge, mortgage or any other encumbrance over any of the portfolio's assets
- accept responsibility for any liability arising from its failure to exercise reasonable care based on the standard applicable to custodians, and
- endeavour to comply with all relevant laws when dealing with the portfolio's assets.

### Own name ownership

I/We confirm that I/we require all assets (excluding wholesale funds), to be held in my/our own name C/- Australian Executor Trustees.

## Section 7. Adviser Service Fee

- Australian Executor Trustees Limited (AET) is required to obtain specific written consent before a fee for financial advice can be deducted from your account. You are not under any obligation to consent to the fee being deducted.
- You may revoke your consent at any time by contacting AET via the options at the end of this form. Once your consent is revoked, no further fees will be deducted from your account however any amounts paid before you revoke your consent will not be automatically refunded.

Fees should be provided including GST. Please provide your consent below.

### Which fee type would you like to establish?

- Advice Fee – Ongoing (please complete section 1)
- Advice Fee – Fixed Term Arrangement (please complete section 2)
- Advice Fee – One-off (please complete section 3)

#### 1 Advice Fee – Ongoing

Start date (optional)  /  /

The start date is optional, if no start date is provided, fees will commence on the date this form is processed. This form must be signed no more than 90 days prior to the start date.

Consent end date (required)  /  /

The consent end date is the date when all ongoing advice fees will end if we have not received your consent to continue. Client consent to an ongoing fee arrangement ends 150 days after the next anniversary date. Your anniversary date is generally the date when you agreed to an ongoing service with your financial adviser.

#### (a) Percentage based fee options - flat percentage or tiered percentage

Flat fee (percentage)  ·  % pa (inclusive of GST)

OR

Tiered percentage fee

	Balance from	Balance to	% per annum (inclusive of GST)
Tier 1	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 2	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 3	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 4	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 5	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 6	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 7	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>

**Required for flat percentage fee or tiered percentage fee:**

Your adviser has estimated a fee of \$  for the 12 months commencing  /  /

This fee will be calculated on the value of your account on the last day of the month and deducted monthly in arrears. If the consent end date is any date other than the last day of the month and a renewed consent or new advice fee arrangement has not been provided, no advice fees will be remitted for that particular month.

OR

**(b) Flat dollar fee**

\$  .  pa (inclusive of GST)

This fee is pro-rated equally and deducted monthly in arrears. If the consent end date is any date other than the last day of the month and a renewed consent or new advice fee arrangement has not been provided, no advice fees will be remitted for that particular month.

**2 Advice Fee – Fixed Term Arrangement**

Fixed Term Arrangement is for fees that cover a 12 month period or less. The form must be signed within 90 days of the start date. If the supplied start date is in the past, the start date will default to the date the form is processed.

Start date  /  /  End date  /  /

The fees available under a Fixed Term Arrangement are listed below.

**(a) Percentage based fee options – flat percentage or tiered percentage**

Flat percentage fee  .  % pa (inclusive of GST)

OR

Tiered percentage fee

	Balance from	Balance to	% per annum (inclusive of GST)
Tier 1	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 2	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 3	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 4	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 5	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 6	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>
Tier 7	\$ <input type="text"/>	\$ <input type="text"/>	<input type="text"/>

**Required for flat percentage fee or tiered percentage fee:**

Your adviser has estimated a fee of \$  for the term of the Fixed Term Arrangement.

This fee will be calculated on the value of your account on the last day of the month and deducted monthly in arrears. If the end date is any date other than the last day of the month and a new advice fee arrangement has not been provided, no advice fees will be remitted for that particular month.

OR

**(b) Flat dollar fee**

\$  .  pa (GST inclusive)

This fee is pro-rated and deducted monthly in arrears. If the end date is any date other than the last day of the month and a new advice fee arrangement has not been provided, no advice fees will be remitted for that particular month.

**3 Advice Fee – One-off**

Flat fee (dollar amount) \$  .

This fee is deducted from your Cash Account as instructed by you. A new request must be supplied each time you would like this fee to be applied.



## Section 8. Declaration and signature

- I/We acknowledge that I/we am/are liable for the actions or omissions of my/our financial adviser.
- I/We authorise AET to charge the advice fee(s) selected against my/our account.
- The amount of any advice fee(s) that are paid to my/our financial adviser, or their Australian Financial Services Licensee (Licensee) as agreed by me/us, will be an additional cost to me/us and charged against my/our account. An advice fee will not be charged unless I/we tell AET to do so.
- Any agreed advice fee(s) will be charged to my/our account and paid in full to the financial adviser, or their Licensee, until I/we instruct AET to cease payment or when I/we change my/our nominated financial adviser.
- I/We understand the consent for AET to pay the ongoing fees to my/our adviser will cease on the consent end date, which is 150 days after the next anniversary date for my/our ongoing fee arrangement.
- I/We understand I/we can withdraw my/our consent or vary the ongoing fee arrangement at any time by notice in writing to my/our adviser, or by contacting AET ClientFirst.
- I/We understand I/we can withdraw my/our consent at any time before the cost is passed on to me/us by contacting AET before the fee is paid.

Investor signature/Director name

Investor signature/Director signature

Date

 /  / 

Joint investor name

Joint investor signature

Date

 /  / 

Joint investor name

Joint investor signature

Date

 /  / 

Joint investor name

Joint investor signature

Date

 /  / 

Joint investor name

Joint investor signature

Date

 /  /



- I confirm that I have conducted the relevant customer identification procedure in line with the obligations under the AML/CTF legislation, and:
  - I have attached original certified copies of the applicant's identification document(s) with this Application;

**Please note:** Compulsory where:

  - initial contribution is equal to or more than \$2 million or
  - the applicant is a Politically Exposed Person or
  - the applicant is not residing in Australia

OR

  - I have completed and signed an FPA/FSC identification form which is attached to this Application (and retained an original certified copy of the applicant's identification document(s));

OR

  - I have sighted and retained the original certified copies of the applicant's identification documents recorded in the following Record of proof of identity table. (Please complete the following table and declaration.)
- I declare that I have met the obligations required by FATCA and CRS by ensuring that the applicant, and/or controlling persons of the applicant's, have provided valid and reasonable information in their answers relating to their tax residency (self-certification). This includes confirmation that the self-certification is complete and (please tick as relevant):
  - I am not aware of any information that conflicts with the tax residency and tax status provided by the applicant

OR

  - I have identified a conflict with the tax residency and tax status as provided by the applicant and have attached relevant information to the application for review by AET. Where there is a conflict I have made the applicant aware that the application may not be finalised until the conflict is reviewed and resolved.
- I also declare that I do not have a 'reason to know' that the information included in the self-certification is incorrect and/or unreliable. I undertake to inform AET if I become aware that any of these details change.

## Proof of identity

Record of proof of identity (ID) <sup>^</sup>	ID document 1	ID document 2
Verified from	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy	<input type="checkbox"/> Original <input type="checkbox"/> Certified copy
Document issuer		
Issue date		
Expiry date		
Document number		
Accredited English translation	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted	<input type="checkbox"/> N/A <input type="checkbox"/> Sighted
Country of Issuer (if not Australia)		

<sup>^</sup> For further information on the types of proof of identity documents which can be attached or verified and retained, please refer to the proof of identity requirements section at the end of this application form. It also includes a list of persons authorised to certify copies of original documents.

### Financial adviser's signature

X

Date

/

/

### Financial adviser/licensee stamp

## Section 11: Service authority declaration

### Applicant(s) declaration and signature

I have read and understood the investor brochure and PMS Profile.

I/We hereby:

- declare that the information in this form is true and correct
- confirm I/we have received, read and understood a current product disclosure statement (except where not required) in regard to each managed investment that I/we have selected
- acknowledge that AET and/or my financial adviser has provided me/us with the Product Disclosure Statement(s) for the managed investment schemes that I/we have selected via AET My Portfolio and I/we agree to receive these Product Disclosure Statement(s) by obtaining them from AET My Portfolio
- acknowledge that investments made are subject to investment risk, including possible delays in repayment and loss of income and capital invested
- acknowledge that neither the repayment of capital nor investment performance of the investments are guaranteed by AET or its related bodies corporate
- acknowledge that the availability of investments through the PMS service should not be taken as the giving of financial product or investment advice by AET and AET is not aware of my/our investment objectives, financial situation or particular needs
- acknowledge that by using the PMS service or AET My Portfolio, I/we indemnify and hold harmless AET for any loss or liability that arises from AET not receiving a request submitted through the system, or acting on an instruction bearing my/our account number, my/our signature or that of an authorised signatory on the account
- appoint AET of 44 Pirie St, Adelaide SA 5000 to collect interest, dividends and other distributions and authorise AET to accept and act upon the investment instructions attached to this form and those subsequently provided by my/our adviser and to hold all policy documents, investment certificates, share scrip and investment applications relating to my/our investments
- acknowledge that by using the facsimile instruction, email service or AET My Portfolio, I/we indemnify AET from any loss or liability that arises from AET not receiving my facsimile or electronic request
- acknowledge that I/we will promptly advise my/our financial adviser and/or AET if the information stated in this form changes at any time.

### Privacy

Information (including my/our personal information) provided to AET is primarily used for the purpose of opening an investment account and for other related purposes. For the purpose of providing me/us with the products or services I/we have requested, AET may disclose my/our personal information to its related bodies corporate, my/our financial adviser, professional advisers, businesses that have referred me/us to AET, banks and other financial institutions, or to provide me/us with information about other products or services that may be of interest to me/us.

AET is required to collect my/our personal information under the *Corporations Act 2001* and the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006*. If I/we do not provide all of the requested information, AET may not be able to action my/our request. To verify my/our identity for Know Your Customer (KYC) purposes, AET may also solicit personal information about me/us from reliable identity verification service providers. My/Our personal information will be handled in accordance with the AET Privacy Policy, which contains information about how I/we may access or correct my/our personal information and how I/we may complain about a breach of my/our privacy. I/We may request a copy of the Privacy Policy by contacting AET on 1800 254 180 or at [aetlimited.com.au/privacy](http://aetlimited.com.au/privacy).

### Foreign residents (including US citizens or residents) investor reporting requirements

- I/We am/are aware that under FATCA and CRS legislation my/our information will be reported to the tax authority within Australia and internationally if I am/we are a foreign resident or a US citizen or resident.

### Proof of identity

- I/We am/are aware that AET are required to carry out proof of identity procedures upon opening an account. These requirements arise under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act). Where I/we have not already provided AET with appropriate identification, AET will be required to collect and verify customer identification information when I/we request a withdrawal or at other times. If I/we do not provide the information or if AET is unable to verify the information, payment may be delayed or refused.

## Financial adviser

- I/We hereby authorise my/our current or any future financial adviser and their respective staff (my/our financial adviser), as my/our agent, to operate my/our account and to give any instructions on my/our behalf in relation to my/our account to AET by any method acceptable to it as set out in the investor brochure.
- I/We release and indemnify AET and any member of the Insignia Financial Group from and against all demands, actions, proceedings, claims, losses, liabilities and costs arising directly or indirectly out of or in connection with AET acting or omitting to act on instructions given by my/our financial adviser under this authority.

- I/We authorise AET to continue to follow instructions given under this authority until AET receives notice in writing signed by me/us to cancel the authority.

## Marketing material

- If you do not want AET or other members of the Insignia Financial Group to use your personal information for marketing purposes, please tick this box

Signature investor 1/trustee/director name

Signature investor 1/trustee/director

Date

 /  / 

Signature investor 2/trustee/director name

Signature investor 2/trustee/director

Date

 /  / 

## Proof of identity requirements

AML/CTF law obligates AET to verify the identity of each applicant before providing financial services to them.

To meet these requirements as an individual or joint investor you must provide either a certified copy of A or B as below:

### EITHER A

ONE of the following documents:

- a current driver's license issued under State/Territory law (or a foreign equivalent) containing a photograph. Please copy front and back of the license
- an Australian passport (where expired within the preceding 2 years this is acceptable)
- a Foreign passport or an international travel document issued by a foreign government, the United Nations (UN) or an agency of the UN, containing a photograph and signature of the person whose name the document is issued
- a card issued under Australian State or Territory Law for the purpose of providing a person's age containing a photograph
- National Identity card issued by a foreign government, the United Nations (UN) or an agency of the UN, containing a photograph or signature of the person whose name the card is issued.

### OR B

ONE of the following documents:

- birth certificate or birth extract issued under Australian State/Territory
- birth certificate issued by a Foreign government, the United Nations (UN) or an agency of the UN
- citizenship certificate issued by the Commonwealth
- citizenship certificate issued by a foreign government
- a concession (health or pension) card issued by the Department of Human Services or Centrelink.

AND

ONE of the following documents:

- a notice issued by Commonwealth, State or Territory government within the past 12 months that contains your name and residential address such as Tax Office Notice of Assessment or a letter from Centrelink regarding an assistance payment
- a notice issued by a local government body or utilities provider within the past 3 months that contains your name and residential address (such as a rates notice from local council or an electricity/gas bill).

### Have you changed your name or are you signing on behalf of another person?

If you have changed your name or are signing on behalf of the applicant, you will need to provide a certified linking document. A linking document is a document that proves a relationship exists between two (or more) names.

**The following table contains information about suitable linking documents.**

Purpose	Suitable linking documents
Change of name	Marriage certificate, deed poll or change of name certificate from the Births, Deaths and Marriages Registration office
Signed on behalf of the applicant	Guardianship papers or power of attorney

**Certification of personal documents**

All copied pages of ORIGINAL proof of identification documents (including any linking documents) need to be certified as true copies by any individual approved to do so (see below).

The person who is authorised to certify documents must sight the original and the copy and make sure both documents are identical, then make sure all pages have been certified as true copies by writing or stamping 'this is a true copy of the original document sighted by me' followed by their signature, printed name, qualification (such as Justice of the Peace, Australia Post employee) and date.

The following persons can certify copies of the originals as true and correct copies:

- Chiropractor
- Dentist
- Legal practitioner
- Medical practitioner
- Nurse
- Optometrist
- Patent attorney
- Pharmacist
- Physiotherapist
- Psychologist
- Trade marks attorney
- Veterinary surgeon

**Other persons:**

- Agent of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- Australian Consular Officer or Australia Diplomatic Officer (within the meaning of the *Consular Fee Act 1955*)
- Bailiff
- Bank officer with two or more continuous years of service
- Building society officer with two or more years of continuous service
- Chief executive officer of a Commonwealth court
- Clerk of a court
- Commissioner for Affidavits
- Commissioner for Declarations
- Credit union officer with two or more years of continuous service
- Employee of the Australian Trade Commission who is:
  - in a country or place outside Australia; and
  - authorised under paragraph 3(c) of the *Consular Fees Act 1955*; and
  - exercising his or her function in that place
- Employee of the Commonwealth who is:
  - in a country or place outside Australia; and
  - authorised under paragraph 3(d) of the *Consular Fees Act 1955*; and
  - exercising his or her function in that place

- Fellow of the National Tax Accountants' Association
- Finance company officer with two or more years of continuous service
- Holder of a statutory office not specified in another item in this list
- Judge of a court
- Justice of the Peace
- Magistrate
- Marriage celebrant registered under Subdivision C of Division 1 of Part IV of the *Marriage Act 1961*
- Master of a court
- Member of Chartered Secretaries Australia
- Member of Engineers Australia, other than at the grade of student
- Member of the Association of Taxation and Management Accountants
- Member of the Australian Defence Force who is either:
  - an officer; or
  - a non-commissioned officer within the meaning of the *Defence Force Discipline Act 1982* with two or more years of continuous service; or
  - a warrant officer within the meaning of that Act
- Member of Chartered Accountants Australia and New Zealand, the Australian Society of Certified Practising Accountants or the National Institute of Accountants
- Member of either:
  - the Parliament of the Commonwealth; or
  - the Parliament of a State; or
  - a Territory legislature; or
  - a local government authority of a State or Territory
- Minister of religion registered under Subdivision A of Division 1 of Part IV of the *Marriage Act 1961*
- Notary public
- An officer with, or authorised representative of, a holder of an Australian financial services licence, having two or more years of continuous service with one or more licences
- Permanent employee of the Australian Postal Corporation with two or more years of continuous service who is employed in an office supplying postal services to the public
- Permanent employee of:
  - the Commonwealth or a Commonwealth authority; or
  - a State or Territory or a State or Territory authority; or
  - a local government authority;
 with two or more years of continuous service who is not specified in another item in this list
- Person before whom a statutory declaration may be made under the law of the State or Territory in which the declaration is made
- Police officer
- Registrar, or Deputy Registrar, of a court
- Senior Executive Service employee of either:
  - the Commonwealth or a Commonwealth authority; or
  - a State or Territory or a State or Territory authority
- Sheriff
- Sheriff's officer
- Teacher employed on a full-time basis at a school or tertiary education institution
- Member of the Australasian Institute of Mining and Metallurgy.

# Portfolio Management Service Service authority form



## Portfolio details

Account/company official name

Account number

ABN/ARBN (optional)

 -  -  - 

Name of applicant 1

Date of birth

 /  / 

Preferred email address

We will use this email address to reset your AET My Portfolio password

Name of applicant 2 (if applicable)

Date of birth

 /  / 

Preferred email address

We will use this email address to reset your AET My Portfolio password

Name of applicant 3 (if applicable)

Date of birth

 /  / 

Preferred email address

We will use this email address to reset your AET My Portfolio password

Name of applicant 4 (if applicable)

Date of birth

 /  / 

Preferred email address

We will use this email address to reset your AET My Portfolio password

## Section 1: Applicants' signatory authority – for joint applications only

I/We hereby confirm that, when a party to the portfolio authorises a withdrawal, the withdrawal request is to be signed by all parties unless alternative authority is given below. Please select (✓):

Any client may sign

Other – please confirm required signatory authorisation (if selected, access to the online withdrawal facility will not be available)





Section 5: Adviser authority

Please select (✓) if you wish to appoint an adviser who will have all the powers you have in relation to your portfolio.

I/We hereby appoint the adviser nominated below to exercise all the powers I/we have in respect of my/our portfolio, including the ability to make deposits and withdrawals (excluding online withdrawals), change income distribution instructions and make investment selections. I/We hereby authorise AET to act on such instructions until I/we notify AET in writing otherwise.

Withdrawal instructions only to be authorised to nominated bank account at section 4.

Financial adviser details

If details have changed please complete the details below

Licensee name [grid] Adviser code [grid]

Financial adviser's name [grid]

Street address [grid]

Suburb [grid] State [grid] Postcode [grid]

Telephone [grid] Facsimile [grid]

Email address [grid]

Financial adviser signature [grid with X]

Date [grid] / [grid] / [grid]

Financial adviser/licensee stamp [grid]



**Section 8: Applicant(s) declaration and signature(s)**

I/We hereby release AET from all loss, costs, claims, damages that I/we may sustain as a result of AET acting in accordance with this authority.

I/We agree to be bound by the minimum cash requirements as set out in the current PMS investor brochure that may change from time-to-time.

I/We also confirm that online withdrawals are subject to daily maximum limits and can only be paid to the nominated bank account provided to AET in section 4 of this service authority form.

By electing to sign up for the online withdrawal facility, I/we acknowledge that I/we must maintain a minimum cash balance of \$5,000 in my/our account at all times.

**Investor 1/director name**

**Investor 1/director signature**

**Date**

 /  / 

**Investor 2/director name**

**Investor 2/director signature**

**Date**

 /  / 

**Investor 3/director name**

**Investor 3/director signature**

**Date**

 /  / 

**Investor 4/director name**

**Investor 4/director signature**

**Date**

 /  / 

**Office use only – Australian Executor Trustees hereby accepts the appointments and instructions given**

**Name**

**Signature**

**Date**

 /  /

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